

Northeast Texas Community College
Investment Summary
November 30, 2020

Account	8/31/2020	Interest	9/30/2020	Interest	10/31/2020	Interest	11/30/2020	11/30/2019	
Interest & Sinking - Tax Obligation Bonds									
1st Public-Gov't Overnight Fund	1902853-1	28.79	0.00	28.79	0.00	28.79	0.00	28.79	28.62
TexStar		885.44	0.04	885.48	0.05	885.53	0.04	885.57	880.53
Total Interest & Sinking Tax Obligation Bonds		914.23	0.04	914.27	0.05	914.32	0.04	914.36	909.15
Interest & Sinking - Tuition/Fee Revenue Bonds									
1st Public-Gov't Overnight Fund	1902853-4	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
TexStar		1.93	0.00	1.93	0.00	1.93	0.00	1.93	1.93
Total Interest & Sinking Tuition/Fee Revenue Bonds		1.93	0.00	1.93	0.00	1.93	0.00	1.93	1.93
Operating Fund									
1st Public-Gov't Overnight Fund	2901965-2	11.96	0.00	11.96	0.00	11.96	0.00	11.96	11.89
TexStar		2,488,724.57	273.98	2,488,998.55	243.16	2,489,241.71	193.11	2,489,434.82	1,478,392.52
Total Institution Funds		2,488,736.53	273.98	2,489,010.51	243.16	2,489,253.67	193.11	2,489,446.78	1,478,404.41
Loan Fund									
1st Public-Gov't Overnight Fund	2901965-6	0.01	0.00	0.01	0.00	0.01	0.00	0.01	0.01
TexStar		16,591.26	1.81	16,593.07	1.60	16,594.67	1.27	16,595.94	16,496.25
Total Loan Fund		16,591.27	1.81	16,593.08	1.60	16,594.68	1.27	16,595.95	16,496.26
2016 Bond Fund									
TexStar		81,906.75	9.02	81,915.77	7.98	81,923.75	6.38	81,930.13	81,437.70
Total Loan Fund		81,906.75	9.02	81,915.77	7.98	81,923.75	6.38	81,930.13	81,437.70
Current Restricted (Texas Grant)									
1st Public-Gov't Overnight Fund	2901965-5	553.36	0.04	553.40	0.04	553.44	0.04	553.48	550.05
TexStar		66.54	0.00	66.54	0.00	66.54	0.00	66.54	66.38
Total Texas Grant Fund		619.90	0.04	619.94	0.04	619.98	0.04	620.02	616.43
Reserve Accounts									
Guaranty Bank & Trust Matures 2/2	6306571	400,534.93	0.00	400,534.93	0.00	400,534.93	706.70	401,241.63	395,613.42
Guaranty-Mat 2/28/2021	6306577	67,331.32	0.00	67,331.32	0.00	67,331.32	118.80	67,450.12	66,503.99
Total Reserve Tuition/Fee Revenue Bonds		467,866.25	0.00	467,866.25	0.00	467,866.25	825.50	468,691.75	462,117.41
Reserve Account - NTCC Housing East									
Guaranty Trust & Wealth Management									
Guaranty-Mat 2/28/21	6306576	444,658.02	0.00	444,658.02	0.00	444,658.02	784.55	445,442.57	439,194.35
Total Guaranty Bank		444,658.02	0.00	444,658.02	0.00	444,658.02	784.55	445,442.57	439,194.35

Northeast Texas Community College
Investment Summary
November 30, 2020

Reserve Account - NTCC Board

Guaranty Trust & Wealth Management

Guaranty-Mat-2/28/2021

Guaranty Bank & Trust Matures 2/

Total Guaranty Bank

6306570	436,842.40	0.00	436,842.40	0.00	436,842.40	770.76		437,613.16	431,474.75
6306573	107,943.43	0.00	107,943.43	0.00	107,943.43	190.45		108,133.88	106,617.10
	<u>544,785.83</u>	<u>0.00</u>	<u>544,785.83</u>	<u>0.00</u>	<u>544,785.83</u>	<u>961.21</u>	<u>0.00</u>	<u>545,747.04</u>	<u>538,091.85</u>

TOTAL ALL INVESTMENTS

<u>4,046,080.70</u>	<u>284.89</u>	<u>4,046,365.60</u>	<u>252.83</u>	<u>4,046,618.43</u>	<u>2,772.10</u>	<u>0.00</u>	<u>4,049,390.53</u>	<u>3,017,269.49</u>
---------------------	---------------	---------------------	---------------	---------------------	-----------------	-------------	---------------------	---------------------

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Ms. Lacy McMillen, Senior Vice President of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455. The advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees. Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

For inquiries regarding the investments of Northeast Texas Community College, please contact:

Brandi Cave, Controller
P O Box 1307
Mt. Pleasant, TX 75456
903.434.8103
bcave@ntcc.edu

Jeff Chambers, Vice President for Administrative Services
P O Box 1307
Mt. Pleasant, TX 75456
903.434.8106
jchambers@ntcc.edu

Brandi Cave

Brandi Cave, Controller

Jeff Chambers

Jeff Chambers, Vice President for Administrative Services

Northeast Texas Community College
Quarterly Investment Report (Including Deposits)

Investment or Deposit Type	November 30, 2020 Market Value College	November 30, 2020 Market Value Foundation
Publicly Traded Equity and Similar Investments		
Common Stock (U.S. and foreign stocks held in separately managed accounts or internally managed by institution investment staff; exclude mutual or commingled funds)		
Equity/Stock Mutual Funds		3,546,896.79
Balanced Mutual Funds (where target allocation is > 50% equities)		
"Commonfund" Equity Commingled Funds		
Other Equity Commingled Funds (if primarily invested in publicly traded equities)		
Preferred Stock		
Other - list by type		
Total Publicly Traded Equity and Similar Investments	0.00	3,546,896.79
"Other" Investments - Other than Publicly Traded Equity and Debt Investments		
Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio)		
Other Real Asset Investments (e.g. investments in infrastructure funds)		
Private Equity		
Hedge Funds		
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)		
Annuities		
Commodities		
Collectibles		
Other - list by type		
Total "Other" Investments - Other than Publicly Traded Equity & Debt Investments	0.00	0.00
Publicly Traded Debt & Similar Investments >1 year maturity		
U.S. Government Securities ("Treasuries")		
U.S. Government Agency Securities ("Agencies")		956,403.43
Mortgage Pass-Throughs - "Agency"		
Mortgage Pass-Throughs - "Private Label"		
Asset-Backed Securities (ABS) (other than mortgage-backed securities)		
Sovereign Debt (non-U.S.)		
Municipal Obligations		
Collateralized Mortgage Obligations (CMOs) - list below by category		
Interest Only Strips (IOs)		
Principal Only Strips (POs)		
Inverse Floaters		
Stated Final Maturity longer than 10 years		
Other CMOs - "Agency"		
Other CMOs - "Private Label"		
Corporate Obligations (U.S. or foreign companies) - list below by rating		
Highly Rated (AAA/AA or equivalent)		
Other Investment Grade (A/BBB or equivalent)		
High Yield Bonds (<BBB or equivalent)		
Not Rated (NR)		
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC)		1,498,252.97
Balanced Mutual Funds (where target allocation is > 50% bonds or other debt securities)		
"Commonfund" Fixed Income/Bond Commingled Funds		
Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)		
GICs (Guaranteed Investment Contracts)		
Other - list by type		
Total Publicly Traded Debt & Similar Investments >1 year	0.00	2,454,656.40
Short-Term Investments & Deposits		
U.S. Government Securities ("Treasuries")		
U.S. Government Agency Securities ("Agencies")		
Bankers' Acceptances		
Commercial Paper - A1/P1 (or equivalent)		
Other Commercial Paper - lower rated		
Repurchase Agreements (Repos)		
Money Market Mutual Funds (registered with the SEC)		
Short-Term Mutual Funds Other than Money Market Mutual Funds (registered with the SEC)		
Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")		
TexPool (and TexPool Prime)		
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds	2,589,509.17	
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)		
Certificates of Deposit (CD) - Nonnegotiable	1,459,881.36	

Certificates of Deposit (CD) - Negotiable		
Bank Deposits	2,070,348.15	1,891,165.26
Cash Held at State Treasury		
Securities Lending Collateral Reinvestments (direct investments or share of pooled collateral)		
Other - list by type		
Total Short-Term Investments & Deposits	<u>6,119,738.68</u>	<u>1,891,165.26</u>
TOTAL INVESTMENTS and DEPOSITS	<u>6,119,738.68</u>	<u>7,892,718.45</u>

Northeast Texas Community College does employ an outside investment advisor/manager to provide the District with investment decisions. The investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455. This advisor does have the authority to make investment decisions for the District provided these decisions follow the policies set forth and approved by the college's Board of Trustees.

Northeast Texas Community College does not use soft dollar, directed brokerage or directed commission, commission recapture, or similar arrangements as a means of paying investment services.

Northeast Texas Community College is associated with Northeast Texas Community College Foundation. The Foundation is included in accordance with Statement No. 39 of the Governmental Accounting Standards Board. The outside investment advisor/manager is Mr. M. J. Trusty, Esq., CTFA, of Guaranty Bank & Trust, 201 S. Jefferson Ave., Mt. Pleasant, Texas 75455.

For inquiries regarding the investments of Northeast Texas Community College, please contact:

Brandi Cave, Controller
P O Box 1307
Mt. Pleasant, TX 75456
903.434.8103
bcave@ntcc.edu

Jeff Chambers, Vice President for Administrative Services
P O Box 1307
Mt. Pleasant, TX 75456
903.434.8106
jchambers@ntcc.edu

Brandi Cave

Brandi Cave, Controller

Jeff Chambers

Jeff Chambers, Vice President for Administrative Services